



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: John S. PATTON et al. )

Atty. Docket No.: 0001.13

Examiner: Aaron J. LEWIS

Application No.: 10/693,318

Group Art Unit: 3743

Filed: 10/24/2003

Title: METHOD AND DEVICE FOR  
DELIVERING AEROSOLIZED  
MEDICAMENTS

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to MAIL STOP RCE, COMMISSIONER FOR PATENTS, PO BOX 1450, ALEXANDRIA, VA 22313-1450

Signed: \_\_\_\_\_

15 NOV 2006

**INFORMATION DISCLOSURE STATEMENT**

**UNDER 37 CFR §1.56, §1.97 and §1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

The references listed in the attached Form PTO-1449 may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56, 1.97, and 1.98. The Examiner is requested to make these citations of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

In compliance with 37 CFR §§1.98 (2) enclosed are copies of: (i) Each foreign patent; (ii) Each publication or that portion which caused it to be listed, other than U.S. patents and U.S. patent application publications unless required by the Office. The references on the attached Form PTO-1449

Attorney Docket No. 0001.13

were previously cited by or submitted to the PTO in a prior application relied upon for an earlier filing date. Accordingly, in compliance with 37 CFR §§1.98 (d), copies of these references have not been provided.

This Information Disclosure Statement is being timely filed under 37 CFR §§1.97 and is being filed:

☒ within three months of the filing date of a national application or an RCE; within three months of the date of entry of the national stage as set forth in section 1.491 in an international application; or before the mailing date of a first Office action on the merits (whichever event occurs last).

☐ Attached is Check No. \_ in the amount of \$0.

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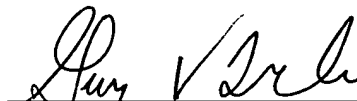
☒ If it is determined that any additional fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 500348.

Respectfully submitted,

NEKTAR THERAPEUTICS

Dated: 14 NOV 2004

By:



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Form PTO-1449

Attorney's Docket No.  
0001.13Application Serial No.  
10/693,318

## INFORMATION DISCLOSURE STATEMENT

Applicant(s)

Patton, et al.

(use several sheets if necessary)

Filing Date:  
October 24, 2003Group Art Unit:  
3743

## U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date (if appropriate)
		10/2000	6,138,668	Patton, et al.			
		09/2000	6,119,688	Whaley, et al.			
		09/2000	6,116,237	Schultz, et al.			
		02/2000	6,029,661	Whaley, et al.			
		11/1999	5,983,893	Wetterlin			
		03/1999	5,875,776	Vaghefi			
		07/1998	5,775,320	Patton, et al.			
		07/1998	5,785,049	Smith, et al.			
		05/1998	5,755,221	Bisgaard			
		04/1998	5,740,794	Smith, et al.			
		07/1997	5,645,050	Zierenberg, et al.			
		10/1995	5,458,135	Patton, et al.			
		04/1995	5,404,871	Goodman, et al.			
		11/1994	5,363,842	Mishelevich, et al.			
		11/1994	5,366,122	Guentert, et al.			
		10/1994	5,355,872	Riggs, et al.			
		09/1994	5,349,947	Newhouse, et al.			
		08/1994	5,337,740	Armstrong, et al.			
		07/1994	5,331,953	Andersson, et al.			
		06/1994	5,320,714	Brendel			
		05/1994	5,309,900	Knoch, et al.			
		03/1994	5,295,479	Lankinen			
		02/1994	5,284,133	Burns, et al.			
		05/1993	5,207,217	Cocozza, et al.			
		04/1993	5,201,308	Newhouse			
		02/1993	5,186,164	Raghuprasad			
		02/1993	5,186,166	Riggs, et al.			
		11/1992	5,161,524	Evans			
		05/1992	5,113,855	Newhouse			
		05/1992	5,112,598	Biesalski			
		03/1992	5,099,833	Michaels			
		09/1991	5,048,514	Ramella			
		07/1991	5,027,806	Zoltan, et al.			
		07/1991	5,033,463	Cocozza			
		07/1991	5,035,237	Newell, et al.			
		06/1991	5,020,527	Dessertine			

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

\*If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identical in the statement and relied upon for an earlier filing date under 35 U.S.C. §120. 37 C.F.R. §1.98 (d).

<b>Form PTO-1449</b>  <b>INFORMATION DISCLOSURE STATEMENT</b>				<b>Attorney's Docket No.</b> 0001.13		<b>Application Serial No.</b> 10/693,318	
<i>(use several sheets if necessary)</i>				<b>Applicant(s)</b>  Patton, et al.		<b>Group Art Unit:</b> 3743	
				<b>Filing Date:</b> October 24, 2003			
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date (if appropriate)
		02/1991	4,995,385	Valentini, et al.			
		01/1991	4,984,158	Hillsman			
		01/1991	4,986,269	Hakkinen			
		07/1990	4,942,544	McIntosh, et al.			
		05/1990	4,926,852	Zoltan, et al.			
		03/1990	4,907,583	Wetterlin, et al.			
		12/1989	4,884,565	Cocozza			
		12/1989	4,884,114	Kladders			
		08/1989	4,852,561	Sperry			
		08/1989	4,860,740	Kirk, et al.			
		04/1989	4,819,629	Jonson			
		04/1989	4,823,784	Bordoni, et al.			
		03/1989	4,811,731	Newell, et al.			
		02/1989	4,807,814	Douche, et al.			
		01/1989	4,796,614	Nowacki, et al.			
		12/1988	4,790,305	Zoltan, et al.			
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		03/1987	4,649,911	Knight, et al.			
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		12/1985	4,558,710	Eichler			
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		06/1984	4,452,239	Malem			
		05/1984	4,446,862	Baum, et al.			
		07/1982	4,338,931	Cavazza			
		03/1981	4,253,468	Lehmbeck			
		02/1981	4,249,526	Dean, et al.			
		09/1978	4,114,615	Wetterlin			
		08/1978	4,109,656	Goethel, et al.			
		08/1978	4,105,027	Lundquist			
		07/1978	4,098,273	Glenn			
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[illegible]

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